

<b>Business Entity ID Number</b>	<b>For PSD Stamp Only</b>	<b>PSD Bar Code Only</b>

U.S. Department of Agriculture  
Agricultural Marketing Service  
Fair Trade Practices Program  
Packers and Stockyards Division

### ANNUAL REPORT OF LIVE POULTRY DEALERS

Select this box if you want to receive electronic notification to file your annual report. This will begin in the next reporting cycle.

Electronic Filing ☐

**Instructions: If a line's value is zero, enter "0". If a line does not apply, enter "N/A". Return completed form to the PSD Central Reporting Unit. If all lines are not completed when the form is received, the form will be returned. See separate instructions for complete information about this report.**

#### GENERAL INFORMATION - SECTION I

101	Reporting Period (mm/dd/yyyy)	a. FROM:	b. TO:
102	Entity Name		
103	Trade Name/d.b.a.		
104	Mailing Address		
105	City, State, Zip+4		
106	Operating Address		
107	City, State, Zip+4		
108	Contact Name		
109	Contact Telephone	110	Fax Number
111	E-Mail Address	112	Web Address

List owners, officers, partners, and members in control of this business with their ownership percentage.

	a. Name	b. Title	c. %
201			
202			
203			
204			
205			

206	Type organization: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> Co-op <input type="checkbox"/> Association
207	Is this the same organization type reported last year? <input type="checkbox"/> Yes <input type="checkbox"/> No
208	Is this business owned or controlled by another business entity, if yes complete line 209 <input type="checkbox"/> Yes <input type="checkbox"/> No
209	If line 208 is yes, identify the owner/controlling business name and address
210	Does the reporting Business, including any Owners, Officers, Directors, Members or Employees, own an interest in any other Market Agency, Dealer Organization, or Packing Company? <input type="checkbox"/> Yes <input type="checkbox"/> No

If line 210 is yes, give the name of related firms, name of person or firms with relationship, and percentage of ownership under lines 211-215

	a. Name of Related Firm	b. Name of Person/Firm with Relationship	c. %
211			
212			
213			
214			
215			

**FINANCIAL INFORMATION - SECTION 3**

Enter the name and location of the financial institution you use for grower or poultry payments.

301	Name of Financial Institution			
302	Physical Address			
303	City, State, Zip+4			
304	Contact Name	305	Contact Telephone	
306	At the end of the reporting period, were Accounts Receivable and/or Inventory, or any part thereof, pledged or assigned as collateral under a financing or loan agreement? <input type="checkbox"/> Yes <input type="checkbox"/> No			
307	At any time during the year, Have you been notified by your financial institution that you were in violation of any of the terms, conditions, and/or covenants of your financial agreement? <input type="checkbox"/> Yes <input type="checkbox"/> No			
308	If so notified, have you been provided a waiver from your financial institution? <input type="checkbox"/> Yes <input type="checkbox"/> No			
309	If the financial institution named in line 301 is the same as that used for your financial or loan agreement, check this box. Otherwise, complete lines 310 through 314, as necessary. <input type="checkbox"/>			

If line 309 is checked, complete lines 310 through 314 for each financial lending institution. Attach additional sheets if more than one.

310	Name of Lending Institution			
311	Mailing Address			
312	City, State, Zip +4			
313	Contact Name	314	Contact Telephone	

**Poultry Trust Ratio Calculation**

Reference the instruction sheet in calculating your Poultry Trust ratio

315	Calculated Trust Ratio	_____
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**OPERATING INFORMATION - SECTION 4**

ACTIVITIES ENGAGED IN (check all that apply)

401	<input type="checkbox"/> Producing poultry under grow out contracts for slaughter
402	<input type="checkbox"/> Producing poultry under marketing agreements for slaughter
403	<input type="checkbox"/> Producing poultry under either of the above growing arrangements for sale to another for slaughter
404	<input type="checkbox"/> Producing poultry under either of the above growing arrangements for slaughter by a custom slaughterer
405	<input type="checkbox"/> Custom poultry slaughterer
406	<input type="checkbox"/> Buying live poultry for slaughter (e.g. spent fowl plant)
407	<input type="checkbox"/> Buying live poultry for slaughter by a custom slaughterer
408	<input type="checkbox"/> Buying live poultry for resale to a slaughterer
409	<input type="checkbox"/> Buying live poultry for resale to a live bird market

**INPUT AND PRODUCT MARKETS**

410	Enter the number of active grow out contracts and marketing agreements on the last day of this reporting period _____	
411	<input type="checkbox"/> Yes <input type="checkbox"/> No	Poultry purchased or obtained under growing arrangements performed outside the state in which it was slaughtered or sold?
412	<input type="checkbox"/> Yes <input type="checkbox"/> No	Live poultry sold outside the state in which it was bought or obtained under a growing arrangement?
413	<input type="checkbox"/> Yes <input type="checkbox"/> No	Poultry meat sold outside the state in which the poultry was slaughtered?

LOCATION OF FACILITIES (attach additional page if needed).

	Slaughter Facility Name	FSIS #	Street, City, State, Zip+4	Phone
414				
415				
416				
417				
418				
419				
420				

	Feed Mill Name	Street, City, State, Zip+4	Phone
421			
422			
423			
424			
425			
426			
427			
428			
429			
430			

<b>TOTAL SLAUGHTER - SECTION 5</b>
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	Total slaughter	a. Chickens		b. Turkeys		c. Other	
		Head	Lbs.	Head	Lbs.	Head	Lbs.
501	Poultry Grown under grow out contracts						
502	Poultry grown under marketing agreement						
503	Poultry grown by the company (e.g. company owned farms)						
504	Live Poultry purchased						
505	Total Poultry Procured (sum lines 501 to 504)						
506	Live Poultry sold						
507	Total Poultry custom slaughtered by you for others						
508	Total slaughter (line 505 minus 506 plus 507)						

<b>STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 6</b>
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**ASSETS**

## Current Assets:

Cash (Enter overdrafts on line 619) 601 \$ \_\_\_\_\_

Current Cash Equivalents (CD's, Savings, etc.) 602 \$ \_\_\_\_\_

## Trade Accounts Receivables-Net (Due in 1 year or less):

Owners, Officers, and Affiliated Companies 603 \$ \_\_\_\_\_

All Others (Sources Not Related to Company) 604 \$ \_\_\_\_\_

Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total lines 603 and 604) 605 \$ \_\_\_\_\_

Inventory 606 \$ \_\_\_\_\_

## Notes and Loans Receivable (Due in 1 Year or Less):

Owners, Officers, and Related Entities 607 \$ \_\_\_\_\_

Others (Sources Not Related to Company) 608 \$ \_\_\_\_\_

Total Notes and Loans Receivable (Due in 1 Year or Less) (Total lines 607 and 608) 609 \$ \_\_\_\_\_

Other Current Assets 610 \$ \_\_\_\_\_

Total Current Assets (Total of lines 601, 602, 605, 606, 609, and 610) 611 \$ \_\_\_\_\_

Property, Plant, &amp; Equipment Net of Depreciation and Amortization 612 \$ \_\_\_\_\_

## Other Assets:

Investments in Subsidiaries and Affiliated Companies 613 \$ \_\_\_\_\_

Due from Owners, Officers, and Related Entities (Due in 1 year or more) 614 \$ \_\_\_\_\_

Other Assets 615 \$ \_\_\_\_\_

Total Other Assets (Total of lines 613 through 615) 616 \$ \_\_\_\_\_

TOTAL ASSETS (Total of lines 611, 612, and 616) 617 \$ \_\_\_\_\_

**LIABILITIES**

## Current Liabilities:

Overdraft	618	\$	
Payables to Owners, Officers, and Related Entities (Due in 1 year or Less)	619	\$	
Other Payables (Sources Not Related to Company) (Due in 1 Year or Less)	620	\$	
Total Payables (Total lines 619 and 620)	621	\$	

## Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)

Owners, Officers, and Related Entities	622	\$	
Others	623	\$	
Total Current Maturities of Long-Term Debt (Total lines 622 and 623)	624	\$	
Other Current Liabilities	625	\$	
Total Current Liabilities (Total of Lines 618, 621, 624, and 625)	626	\$	

## Other Liabilities:

Long -Term Debt, Less Current Maturities: (Due after 1 year)			
Owners, Officers, and Related Entities	627	\$	
Others (Sources Not Related to Company)	628	\$	
Total Long-Term Debt, Less Current Maturities (Total lines 627 and 628)	629	\$	
TOTAL LIABILITIES (Total of lines 626, and 629)	630	\$	

**EQUITY**

Owner's Equity (Unincorporated)	631	\$	
OR			
Stock Issued (Incorporated)	632	\$	
Additional Paid-in Capital	633	\$	
Retained Earnings	634	\$	
TOTAL EQUITY (total of lines 631 through 634)	635	\$	
TOTAL LIABILITIES AND EQUITY (Line 630 plus line 635) (should equal line 617)	636	\$	

**STATEMENT OF REVENUES AND EXPENSES - SECTION 7***INCLUDE VALUES ONLY FOR REPORTING ENTITY.*

Net Sales (Gross sales less sales returns & allowances)	701	\$	
Cost of Sales			
Opening Inventory	702	\$	
Live Poultry Purchases	703	\$	
Poultry Grow-outs	704	\$	
Other Purchases	705	\$	
Subtotal (sum lines 702 through 705)	706	\$	
Closing Inventory	707	\$	
Total Cost of Sales (line 706 less 707)	708	\$	
<b>Gross Profit</b> (line 701 less 708)	709	\$	
Operating Expenses			
Manufacturing	710	\$	
General, Sales, and Administrative	711	\$	
Depreciation & Amortization	712	\$	
Total Operating Expenses (sum lines 710 through 712)	713	\$	
<b>Operating Income or Loss</b> (line 709 less 713)	714	\$	
Adjustments to Operating Income			
Other Additions to Income	715	\$	
Interest Expense (Enter as a negative number)	716	\$	
Other Deductions from Income (Enter as a negative number)	717	\$	
Adjustments to Operating Income + or - (Enter the sum total of lines 715 through 717; enter as + or -)	718	\$	
<b>Net Profit or Loss</b> (Add line 714 plus line 714)	719	\$	

**CERTIFICATION - SECTION 8**

*Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).*

***With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report. (This form just be signed by a person listed in lines 201-205)***

801. Print Name:		802. Signature:
803. Telephone:	804. Date:	805. Title:

*Submitted information is confidential (9 CFR 201.96). Failure to report may result with a complaint filed against the entity in a United States District Court charging the entity with violations of the Packers and Stockyards Act and seeking civil penalties as authorized by law per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).*

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